

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Ranzini Group Art Unit: TBA
Serial No : 09/924,005 Examiner: TBA
Filed : August 7, 2001
For : SYSTEM AND METHOD FOR STOCK EXCHANGE LISTED
FOREIGN EXCHANGE

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

O I P E
JAN 17 2002
PATENT & TRADEMARK OFFICE
Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated September 14th, 2001, enclosed are: 1) a copy of the Notice to File Missing Parts; 2) an executed Declaration and Power of Attorney; and 3) a check in the amount of \$65.00 for the surcharge.

In the event that an extension of time is required, or which may be required in addition to that requested in a petition for an extension of time, the Commissioner is requested to grant a petition for that extension of time which is required to make this response timely and is hereby authorized to charge any fee for such an extension of time or credit any over payment for an extension of time to Deposit Account No. 13-4500, Order No. 3892-4003. A DUPLICATE COPY OF THIS PAPER IS ENCLOSED.

Respectfully submitted,

By: John W. Osborne
John W. Osborne
Registration No. 36,231

Date: November 13, 2001
Morgan & Finnegan L.L.P.
345 Park Avenue
New York, NY 10154
(212) 758-4800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ranzini
Serial No.: 09/924,005
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CERTIFICATE OF MAILING (37 C.F.R. §1.8(a))

Commissioner for Patents
Washington, D.C. 20231

Sir:

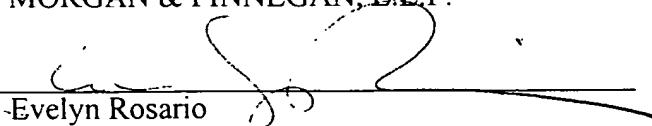
I hereby certify that the attached:

1. Response to Notice to File Missing Parts of Application;
2. Check in the amount of \$65.00;
2. Notice To File Missing Parts of Nonprovisional Application Form;
3. Transmittal of Declaration Pursuant to CFR §1.53;
4. Combined Declaration and Power of Attorney Form;
5. Recordation Form Cover Sheet Pursuant to 37 CFR 3.31;
6. Check in the amount of \$40.00
7. Assignment of Application for Patent; and
8. Return Receipt Postcard.

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner for Patents, Washington, D.C., 20231.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: November 13, 2001

By: 

Evelyn Rosario

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, NY 10154-0053
(212) 758-4800 Telephone
(212) 751-6849 Facsimile



PATENT

Docket No. 3892-4003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Ranzini Group Art Unit: TBA
Serial No : 09/924,005 Examiner: TBA
Filed : August 7, 2001
For : SYSTEM AND METHOD FOR STOCK EXCHANGE LISTED FOREIGN EXCHANGE

TRANSMITTAL OF DECLARATION PURSUANT TO C.F.R. § 1.53

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

Transmitted herewith is a declaration for the above-identified application.

The surcharge, pursuant to 37 C.F.R. § 1.16(e), for filing a declaration on a date later than the filing date of the application is as follows:

- Filing by a small entity
\$65.00
- Filing by other than a small entity
\$130.00
- Charge surcharge fee to Deposit Account No. 13-4500. Order No. _____
A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- A check in the amount of \$ 65.00 to cover the surcharge fee is enclosed.

[X] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. 13-4500. Order No. 3892-4003. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: November 13, 2001

By: John W. Osborne
John W. Osborne
Registration No. 36,231

CORRESPONDENCE ADDRESS:

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/924,005	08/07/2001	Stephen Lange Ranzini	3892-4003

CONFIRMATION NO. 1961
FORMALITIES LETTER

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, NY 10154-0053



OC00000006544731

Date Mailed: 09/14/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

ll-ct
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/23/2002 ANAB11 00000074 09924005

01 FC:205 55.00 DP

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DOCKET DEPT.
MORGAN & FINNEGAN LLP
200 SEP 20 P 4:18